



ALABAMA DEPARTMENT OF INSURANCE

Preneed Division

201 Monroe Street, Suite 502

Montgomery, AL 36130-3351

APPLICATION FOR TRANSFER OF PRENEED BRANCH REGISTRATION

FORM ATPBRL (1/2013)

PRENEED CERTIFICATE OF AUTHORITY UNDER WHICH THE PRENEED REGISTRANT IS AFFILIATED:

NAME: _____ PRENEED NUMBER: _____

ADDRESS: _____

PRENEED BRANCH REGISTRANT NAME AND NUMBER TO BE TRANSFERRED:

ADDRESS OF PRENEED BRANCH REGISTRANT TO BE TRANSFERRED:

NAME OF TRANSFEREE: _____

ADDRESS OF TRANSFEREE: _____

1. Is the transferee already in the preneed funeral, cemetery or third party seller business? Yes ____ No _____. If yes, does the transferee have an existing preneed certificate of authority? Yes ____ No _____. If yes, what name and license number does the transferee operate its preneed business? _____
2. If the transferee is already in the preneed business, by what date does the transferee intend to provide those documents required by Section 27-17A-11, Code of Alabama 1975, to the Alabama Department of Insurance? _____
3. Will the transferee operate the transferred entity as a part of a common business enterprise, with a name other than that of the common business enterprise, and register the entity as a branch registrant? Yes ____ No ____
4. Will the entity operate under the name of the common business enterprise, with the transferee surrendering the transferred preneed branch registration? Yes ____ No ____
5. If the transferred preneed branch registration is to be surrendered, the transferee must comply with the requirements of Section 27-17A-16, Code of Alabama 1975, by filling out Form ASPCAL (4/2003).
6. If the transferee is not already in the preneed business, please provide an explanation as to how the transferee intends to comply with Section 27-17A-11, Code of Alabama 1975.
7. What was the method of funding for the preneed contracts sold by the entity being transferred (trust fund, insurance policies, letter of credit, surety bond)? _____
8. On a separate sheet of paper, the transferee should explain the procedures to be used in protecting the existing preneed funds of the entity being transferred, i.e., if the existing preneed funds are in a trust agreement, will that existing trust agreement be maintained or will the existing preneed funds be transferred to the trust of the transferee, etc.? The full and complete explanation must depend upon the funding methodology of the existing entity and the funding methodology of the transferee.
9. Does the transferee intend to notify existing preneed contract holders of the transfer of their preneed contracts? Yes ____ No _____. If yes, how will the notification be made? _____

Signature of Transferring Preneed Certificate of Authority Holder

Signature of Alabama Commissioner of Insurance

Date

Date

Signature of Transferee

Date