



ALABAMA DEPARTMENT OF INSURANCE

201 MONROE STREET, SUITE 1700
POST OFFICE BOX 303351
MONTGOMERY, ALABAMA 36130-3351
TELEPHONE: (334) 269-3550 FACSIMILE: (334) 241-4192
INTERNET: www.aldoi.gov

VEHICLE PROTECTION PRODUCT WARRANTOR REGISTRATION APPLICATION

TYPE OF APPLICATION: NEW RENEWAL OF REGISTRATION NUMBER

NAME OF BUSINESS TO BE REGISTERED

ASSUMED NAME(S) OR DBA NAME(S)

PHONE NUMBER

FEIN NUMBER

FAX NUMBER

SSN

PHYSICAL ADDRESS

Address

City State Zip Code

MAILING ADDRESS

Address

City State Zip Code

TYPE OF OWNERSHIP

- CORPORATION
- PARTNERSHIP
- INDIVIDUAL
- LIMITED LIABILITY COMPANY

TYPES OF PRODUCTS SOLD

- IGNITION INTERRUPT
- AUTO ALARM SYSTEMS
- WINDOW IDENTIFICATION SYSTEMS
- OTHER

AGENT FOR SERVICE OF PROCESS

Name

Address

City State Zip Code Phone Number

OFFICERS

President Vice President

Secretary Vice President

Treasurer Vice President

DIRECTORS

I CERTIFY THAT I HAVE READ AND WILL ABIDE BY THE ALABAMA VEHICLE PROTECTION PRODUCT ACT, ALABAMA ACT 2006-600. UPON REQUEST OF THE DEPARTMENT, I AGREE TO MAKE AVAILABLE ALL RECORDS MAINTAINED PURSUANT TO THE REQUIREMENTS OF SAID ACT AND ANY SUBSEQUENT ALABAMA DEPARTMENT OF INSURANCE REGULATIONS ENACTED PURSUANT THERETO TO THE COMMISSIONER OR HIS/HER DULY APPOINTED DESIGNEE.

WITH KNOWLEDGE OF THE PENALTIES FOR FALSE STATEMENTS, I CERTIFY THAT ALL INFORMATION SUBMITTED WITH THIS APPLICATION AND ALL ATTACHED DOCUMENTATION IS TRUE AND CORRECT.

APPLICANT SIGNATURE

TITLE

PRINTED NAME

DATE

APPLICATION CHECKLIST

TO BECOME A REGISTERED VEHICLE PROTECTION PRODUCT WARRANTOR OR RENEW AN EXISTING REGISTRATION, THE FOLLOWING MUST BE ATTACHED TO FORM AL-VPP-APP IN SATISFACTION OF THE REQUIREMENTS SET FORTH IN THE ALABAMA VEHICLE PROTECTION PRODUCT ACT, ALABAMA ACT 2006-600. PLEASE INDICATE BELOW THOSE ITEMS THAT HAVE BEEN ATTACHED BY CHECKING THE APPROPRIATE BOX.

CORPORATE DOCUMENTS

IF NEW CORPORATE APPLICATION ATTACH COPY OF ARTICLES OF INCORPORATION, FORMATION, ASSOCIATION OR PARTNERSHIP AGREEMENT.

CERTIFICATE OF GOOD STANDING STATUS

A CERTIFICATE OF GOOD STANDING STATUS MUST BE SUBMITTED FOR A CORPORATION OR LIMITED LIABILITY COMPANY THAT PROVIDES VEHICLE PROTECTION PRODUCT WARRANTEES IN ALABAMA. THIS CERTIFICATE MAY BE OBTAINED FROM THE ALABAMA SECRETARY OF STATE'S OFFICE OR REQUESTED VIA THE INTERNET AT www.sos.state.al.us.

FINANCIAL SECURITY DOCUMENTS

THE FINANCIAL SECURITY REQUIREMENTS DESCRIBED IN SECTION 5 OF THE ACT CAN BE SATISFIED BY HAVING A REIMBURSEMENT INSURANCE POLICY OR BY ESTABLISHING A MINIMUM NET WORTH OF \$50 MILLION. PLEASE INDICATE WHICH OPTION THE APPLICANT HAS ELECTED TO USE IN SATISFACTION OF THE FINANCIAL SECURITY REQUIREMENTS.

REIMBURSEMENT INSURANCE POLICY

IF THE REIMBURSEMENT INSURANCE POLICY OPTION IS USED THE APPLICANT MUST ATTACH A CERTIFICATE OF INSURANCE ISSUED BY AN INSURER AUTHORIZED TO DO BUSINESS IN THE STATE OF ALABAMA.

\$50 MILLION NET WORTH

IF THE \$50 MILLION NET WORTH OPTION IS USED, FILE AN AUDITOR'S UNQUALIFIED OPINION ALONG WITH ONE OF THE FOLLOWING:

1. AUDITED FINANCIAL STATEMENT SHOWING A NET WORTH OF AT LEAST \$50 MILLION AT THE END OF THE APPLICANT'S MOST RECENT FISCAL YEAR-END.
2. AUDITED FINANCIAL STATEMENT SHOWING A NET WORTH OF AT LEAST \$50 MILLION AT THE END OF THE APPLICANT'S PARENT CORPORATION'S MOST RECENT FISCAL YEAR-END. **NOTE: IF THE PARENT CORPORATION'S FORM 10-K, 20-F OR AUDITED FINANCIAL STATEMENTS ARE USED THE PARENT COMPANY MUST HAVE ON FILE WITH THE DEPARTMENT A GUARANTEE RELATING TO WARRANTIES ISSUED BY THE WARRANTOR IN THE STATE OF ALABAMA. A BOARD RESOLUTION MUST BE ATTACHED TO THE APPLICATION ACKNOWLEDGING THE GUARANTEE AND ITS CONTINUED EXISTENCE FOR THE YEAR IN WHICH THE APPLICATION PERTAINS.**

CONTRACTS

IF NEW APPLICATION ATTACH A SPECIMEN OF ALL WARRANTY CONTRACTS TO BE USED IN THE STATE OF ALABAMA. IF RENEWAL, USE **SERFF** FOR ANY CONTRACT REVISIONS OR NEW CONTRACTS TO BE USED IN ALABAMA IN THE YEAR IMMEDIATELY FOLLOWING RENEWAL.

EXAMINATION AGREEMENT

A PROPERLY COMPLETED AGREEMENT TO SUBMIT TO AN EXAMINATION MUST BE ATTACHED TO ALL **NEW** APPLICATIONS. NEW APPLICATIONS RETURNED WITHOUT AN AGREEMENT WILL BE CONSIDERED INCOMPLETE .

FEES

Electronic remittance is available online at <https://appengine.egov.com/apps/al/aldoi/examiners> Use to remit application, application fees, and any other applicable documents. Checks or money orders are no longer encouraged.

BIOGRAPHICAL AFFIDAVITS

New applicants must submit an affidavit for those individuals identified in the affidavit instructions. Renewal applications should contain affidavits for those individuals identified in the affidavit instructions that did not occupy the indicated position as of the previous application submission.

AGREEMENT TO SUBMIT TO AN EXAMINATION

THE STATE OF

COUNTY OF

THIS INSTRUMENT WITNESSETH: That for and in consideration of the issuance of a license to transact business in the State of Alabama, application for which has been filed with the Commissioner of Insurance of Alabama, the below identified company does hereby agree that the Commissioner of Insurance of the State of Alabama, may, whenever he deems it necessary, make an examination into the condition of its affairs; that said examination may be conducted by said Commissioner, his deputy, or such other qualified person or persons as he may designate or appoint for such purpose; that such of its books, papers and securities, as may be required, will be submitted to said examiner or examiners; that said examiner or examiners shall have the right and power to examine its officers under oath touching its business and financial condition; and that all the proper charges incurred in such examination, including the expenses of the Commissioner of Insurance, or his deputy, and the expenses and compensations of his authorized examiner or examiners, will be paid by said company or association, when approved by said Commissioner.

Company Name

Address

City State Zip Code

Country

IN WITNESS WHEREOF, the above name company has caused its name to be subscribed hereto by its President, or other proper officer, and attested by its Secretary, and has hereunto caused its corporate seal to be affixed.

This day of , .

By

Its President

(SEAL)

Signature

Attested By

Its Secretary

Signature